Customer No. 20,995

Docket No.: AVANIR.111A

MAY 1 4 700A SPPI

INFORMATION DISCLOSURE STATEMENT

Kpplicant

: Jagadish C. Sircar, et al.

App. No.

: 10/661,139

Filed

: September 12, 2003

For

PHENYL-INDOLE COMPOUNDS

FOR MODULATING IgE AND

INHIBITING CELLULAR PROLIFERATION

Examiner

Unknown

Group Art Unit

Unknown

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing four references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. A copy of one reference is enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

May 12, 2004

Connie C. Tong

Registration No. 52,292

Agent of Record

Customer No. 20,995

(949) 760-0404.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. AVANIR 11A	APPLICATION NO. 10/661,139		
BY APPLICANT	APPLICANT Jagadish C. Sircar, et al.			
MAN 1 LOU (USE SEVERAL SHEETS IF NECESSARY)	FILING DATE September 12, 2003	GROUP Unknown		
	PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT USE SEVERAL SHEETS IF NECESSARY)	PATENT AND TRADEMARK OFFICE AVANIR 11A APPLICANT APPLICANT Jagadish C. Sircar, et al. FILING DATE		

U.S. PATENT DOCUMENTS					
DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS:	FILING DATE (IF APPROPRIATE)
4,510,158	4/9/85	Bailey			
6,503,938	1/7/03	Von Angerer, et al			
6,509,365	1/21/03	Lubisch, et al.			
	<u>.</u>				
			<u> </u>		
			<u> </u>		
	4,510,158 6,503,938	4,510,158 4/9/85 6,503,938 1/7/03	DOCUMENT NUMBER DATE NAME 4,510,158 4/9/85 Bailey 6,503,938 1/7/03 Von Angerer, et al	DOCUMENT NUMBER DATE NAME CLASS 4,510,158 4/9/85 Bailey 6,503,938 1/7/03 Von Angerer, et al	DOCUMENT NUMBER DATE NAME CLASS SUBCLASS 4,510,158 4/9/85 Bailey 6,503,938 1/7/03 Von Angerer, et al

	FOREIGN PATENT DOCUMENTS						
EXAMINER	DOCUMENT NUMBER DATE COUNTRY CLASS	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL			YES	NO			
	WO 92/02500	2/20/92	PCT (English Abstract attached)	C07D	209/14		

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)				

H:\DOCS\CCT\CCT-5775.DOC:cb 021804

EXAMINER	DATE CONSIDERED	
		•

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.